

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Jeffrey W. LUTZE et al.		
Title:	Self Aligned Non-Volatile Memory Cells and Processes for Fabrication		
Application No.:	10/799,060	Filing Date:	March 12, 2004
Examiner:	Mai Huong C. TRAN	Group Art Unit:	2818
Docket No.:	SNDK.352US0	Conf. No.:	6740

CUSTOMER NO. 36257

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

According to 37 C.F.R. 1.98(2)(ii), copies of the U.S. Patents and U.S. Published Patent Applications documents are not required and are therefore not enclosed. Copies of the listed foreign patent documents and/or Other Art are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).


Attorney Docket No.: SNDK.352US0

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This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664.

FILED VIA EFS

Respectfully submitted,



Gerald P. Parsons
Reg. No. 24,486

May 31, 2006
Date

PARSONS HSUE & DE RUNTZ LLP
595 Market Street, Suite 1900
San Francisco, CA 94105
(415) 318-1160 (main)
(415) 318-1163 (direct)
(415) 693-0194 (fax)

U.S. Department of Commerce, Patent and Trademark	Atty. Docket No.	Application No.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	SNDK.352US0	10/799,060
	Applicant(s)	Conf. No.
(Use several sheets if necessary)	Jeffrey W. LUTZE et al.	6740
(Form PTO-1449)	Filing Date	Art Group
	March 12, 2004	2818

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3	6,172,395	01/2001	Chen et al.			
	2	6,794,708	09/2004	Mori			
	3	6,897,524	05/2005	Kamiya			
	3	6,891,246	05/2005	Aritome			
	5	7,023,049	04/2006	Takabuchi et al.			

U.S. Published Patent Application Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3	2002/197800	12/2002	Hashimoto et al.			

Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	7	EP 1274132	01/2003	EPO				
	3	EP 1363324	11/2003	EPO				

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

9	"Planarized NVRAM Cell with Self-Aligned BL-BL and WL-BL Isolations", IBM Technical Disclosure Bulletin, IBM Corp. New York, US, Vol. 36, No. 2, 1 February 1993, pp. 375-377.

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.